



JC10 Rec'd PCT/PTO 22 JAN 2002  
Received 2  
PCT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

AHLERS et al.

Serial No. 09/937,310

Filed: September 24, 2001

Application Processing  
Division

Customer Correction Branch

For: CATALYST COMPRISING A METAL COMPLEX OF THE VII SUBGROUP  
BASED ON A PHOSPHINE AMIDITE LIGAND AND ITS UTILIZATION FOR  
HYDROFORMYLATION AND HYDROCYANATION

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to Commissioner of  
Patents and Trademarks, Washington, D.C. 20231, on:  
December 18, 2001  
Date of Deposit  
Monica K. Sims  
Person Making Deposit  
Monica Sims  
Signature  
December 18, 2001  
Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please forward a corrected filing receipt as follows:

The Domestic Priority Data should read as follows:

"**THIS APPLICATION IS A 371 OF PCT/EP00/02610 03/23/00**" not "THIS  
APPLICATION IS A 371 OF PCT/EP00/02610 09/28/00"

The error is the fault of the Patent Office, no fee is required.

Respectfully submitted,

KEIL & WEINKAUF

*HB Keil*

Herbert B. Keil  
Reg. No. 18,967

1101 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 659-0100  
HBK/mks

RECEIVED  
MAR 13 2002  
TC 1700



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/937,310	09/24/2001	1754	860	0050/49849		10	1



Keil & Weinkauf  
1101 Connecticut Avenue NW  
Washington, DC 20036

CONFIRMATION NO. 8387  
FILING RECEIPT



\*OC000000007177398\*

Date Mailed: 12/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Wolfgang Ahlers, Worms, GERMANY;  
Heiko Maas, Schifferstadt, GERMANY;  
Michael Roper, Wachenheim, GERMANY;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/EP00/02610 ~~09/28/2000~~ 03/23/00

**Foreign Applications**

GERMANY 19913352.2 03/24/1999

**Projected Publication Date:** Not Applicable, filed prior to November 29,2000

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Catalyst comprising a metal complex of the viii subgroup based on a phosphine amidite ligand and its utilization for hydroformylation and hydrocyanation

**Preliminary Class**

502

RECEIVED  
MAR 13 2002  
TC 1700

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**



**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**RECEIVED**  
MAR 13 2002  
**TC 1700**